




CERTIFICATE OF EXPRESS MAIL  
(PATENT)

Attorney Docket No. 05222.00173  
Confirmation No. 2989

Express Mail No. EV 306401521 US  
Deposited July 9, 2004

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By:  \_\_\_\_\_

Bertrand, et. al., U.S. Patent Application No. 09/868,669 for "A GOAL BASED SYSTEM UTILIZING A SPREADSHEET AND TABLE BASED ARCHITECTURE"

- Transmittal Form (in duplicate)
- Amendment (10 pages)
- Return Receipt Postcard



07-12.04

2121

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/868,669
Filing Date	September 26, 2001
First Named Inventor	Bertrand, et. al.
Group Art Unit	2121
Examiner Name	Bell
Attorney Docket Number	05222.00173

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to<br>Group<br><input type="checkbox"/> Appeal Communication to Board of<br>Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):<br><b>Certificate of Express Mail<br/>Return Receipt Requested</b> |
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**Remarks**

The Commissioner is authorized to charge any fees in connection with this correspondence to Deposit Account No. 19-0733. A duplicate of this sheet is enclosed.

**Express Mail No. EV 306401521 US****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Kenneth F. Smolik Banner & Witcoff, Ltd.
Signature	<i>Kenneth F. Smolik</i>
Date	July 9, 2004

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Attorney Docket No. 05222.00173)

In re U.S. Patent Application of Bertrand,	)	
et al.	)	
	)	
Application No. 09/868,669	)	Examiner: Bell, Meltin
	)	
Filed: September 26, 2001	)	Group Art Unit: 2121
	)	
For: A Goal Based System Utilizing a	)	
Spreadsheet and Table Based	)	
Architecture	)	

**AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated April 9, 2004, please enter the following response. The Examiner set a three-month period for response, thus making this Amendment due on or before **July 9, 2004**. The Commissioner is authorized to charge any required fees to Deposit Account No. 19-0733.

The Amendment section begins on page 2 and the Remarks section begins on page 8.  
Please amend the application as follows.